

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 23, 2009

FRISHAUF, HOLTZ, GOODMAN & CHICK, PC 220 FIFTH AVENUE 16TH FLOOR NEW YORK, NY 10001-7708 US

Dear Sir/Madam.

Your refund request for 09911673 in the amount of \$400.00 has been denied.

After thorough investigation of U.S. Patent and Trademark Office physical records and Revenue Accounting Management financial records, it was determined that your refund of \$400.00 was credited to your deposit account 06-1378 on September 12, 2002.

Technical Center Others
703 308-9010 x178

torney Dicket No. <u>01430/LH</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Taro ENDO et al

Serial No. : 09/911,673

Filed : July 24, 2001

For : DISPLAY SYSTEM AND

MICRODISPLAY APPARATUS

Art Unit : 2614

Examiner :

SUBMISSION OF SUPPLEMENTAL DECLARATION

Assistant Commissioner for Patents Washington, D.C. 20231

SIR:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Assistant Commissioner for Patents. Washington. D.C. 20231 on the date noted below.

Attorney: Leonard Holtz

Dated: January 2, 2002

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Submitted herewith is a Supplemental Declaration which identifies therein prior Japanese Application No. 2000-184302 (filed in Japan on June 20, 2000), the priority of which is not being claimed. The previously submitted executed Declaration did not identify Japanese 2000-184302 thereon. The enclosed Supplemental Declaration is being filed in order to correct this informality in the previously submitted Declaration.

It is respectfully requested that the attached Supplemental Declaration be entered in the file.

01-FC:116 400.00-C

Respectfully submitted

Leonard Holtz, Reg. No. 22,97

Dated: January 2, 2002

Frishauf, Holtz, Goodman, Langer & Chick, P.C. 767 Third Avenue - 25th Floor New York, New York 10017-2023 Tel. No. (212) 319-4900

Tel. No. (212) 319-4900 Fax No. (212) 319-5101

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RECEIVED

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Technology Center 2600

Attorney Docket No. 01430/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

: Taro ENDO et al

Patent No.

: 7,474,276

Issued

: January 6, 2009

Serial No. Filed

: 09/911,673 : July 24, 2001

For

: DISPLAY SYSTEM AND

MICRODISPLAY APPARATUS

ATTENTION:

REFUND SECTION OFFICE OF FINANCE

ACCOUNTING DIVISION

THIRD REQUEST FOR REFUND

Director of the U.S. Patent and Trademark Office

SIR:

The following four pages are copies of our original Request for Refund, dated July 10, 2002, and our second Request for Refund dated June 26, 2006 with enclosures. To date, we have not seen the \$400 credit for the two-month extension of time charged, in error, on March 7, 2002.

In response to the September 4, 2001 NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, an executed Declaration was submitted with a Certificate of Mailing on October 30, 2001. Further, on January 2, 2002, a supplemental Declaration was submitted with a Certificate of Mailing. Copies of the returned receipt postcards, seen on the last page of this communication, clearly show that each postcard was date stamped as received by the USPTO on January 23, 2002. This is a USPTO error.

It is respectfully requested that the Patent Office now act on these Requests for Refund -- it has been almost seven years. The application has issued as USP 7,474,276. Kindly credit \$400 to the undersigned's Deposit Account 06-1378 without delay.

Respectfully submitted,

Leonard folt Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C. 220 Fifth Avenue - 16th Floor New York, New York 10001-7708 Tel. No. (212) 319-4900 Facsimile No. (212) 319-5101 LH:cb

CERTIFICATE OF FACSIMILE TRANSMISSION TO: 1-571-273-6500

TOTAL PAGES: 5

I hereby certify this paper is being transmitted via facsimile to the Director of the U.S. Patent and Trademark Office on the date noted below.

Thering Brown

Catherine Bacon

Dated: January 14, 2009 Attorney Docket No. 01430/LH

TOPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Taro ENDO et al

Serial No. : 09/911,673

Filed : July 24, 2001

FOT : DISPLAY SYSTEM AND MICRODISPLAY APPARATUS

ATTENTION: MAIL STOP 16 - REFUNDS

OFFICE OF FINANCE ACCOUNTING DIVISION

SECOND REQUEST FOR REFUND

Director of the U.S. Patent and Trademark Office

SIR:

Attached hereto are copies of our original July 10, 2002 Request for Refund (with enclosures), and the returned postcard verifying receipt. To date, we have not seen the \$400 credit for the extension of time that was charged in error on March 7, 2002.

An executed Declaration was timely submitted with a Certificate of Mailing on October 30, 2001. No fee for an extension of time was necessary. For some unknown reason, the USPTO delayed acknowledging receipt until January 23, 2002.

In addition, a supplemental Declaration was submitted with a Certificate of Mailing on January 2, 2002, and curiously, the postcard was returned with the same date stamp of receipt January 23, 2002. Copies of the postcards are attached.

It is respectfully requested that the Patent Office now act on our nearly four-year old original Request for Refund by crediting the \$400, charged in error, to the undersigned's Deposit Account 06-1378.

Respectfully submitted,

Leonard Holtz Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C. 220 Fifth Avenue - 16th Floor New York, New York 10001-7088 Tel. No. (212) 319-4900 Facsimile No. (212) 319-5101 LH:cb

CERTIFICATE OF MAILING

I hereby certify this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, PO Box 1450, Alexandria, VA 22311 on the date noted below.

Catherine Bacon

Dated: _____June 26, 2006

Attorney Docket No. 01430/LH

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IN THE UNITED STATES PATENT.
AND TRADERRIK OFFICE

Applicant(s): T. ENDO, ET AL

: 09/911,673 Serial No.

ufficient posture as first class mail in an errelope adversage to: Assistant Constinution Dr. 2021 on the date rackel below.

DISPLAY SYSTEM AND MICRODISPLAY APPARATUS : July 24, 2001 . Filed For

Art' Unit Examiner

Detect: July 10, 200

Att: Office of Finance .
Accounting Division
Refund Section - Box 17

REQUEST FOR REFUND OF ERRONEGISTY CHARGED FEE

Hon. Commissioner of Patents and Trademarks

SIR:

photocopy of our March, 2002 MONTHLY STATEMENT OF DEPOSIT ACCOUNT It is respectfully requested that the Patent Office refund the amount of \$400.00 which was erroneously charged on March 7, 2002 against the above-identified application. Attached is a showing the exroneous charge thereon (highlighted in yellow). Control No. 4, Fee Code 116).

Declaration filed on January 23, 2002 (mailed with a Certificate Patent, Office and no extension of time should have been charged The erroneous charge was for a two month extension of time for submitting an executed Declaration. However, the executed of Mailing on October 30, 2001) was timely forwarded to the

therefor. The second executed Declaration, also filed on Jamary supplemental to the first submission stailed October 30, 2001, and as filled so as to identify the ariginal Japanese Application in the Patent Office on October 30, 2001, with a proper Certificate of Mailing, was timely and fully responsive to the Notice mailed 13, 2002, but mailed with a Certificate on Jenuary 2, 2002, was the Declaration. The first executed Declaration, forwarded to September 4, 2001.

In view of the above, it is seen that the extension chargo of \$400.00 against the present application was erroneous, since the executed Declaration was timely mailed to the Patent Office with a Certificate of Mailing on October 30, 2001, but was not 'received" by the Patent Office until January 23, 2002.

the Warch 7, 2002 erromedus charge of \$400.00 to Deposit Account It is respectfully requested that the Patent Office credit lo. 06-1378.

If the Examinar has any comments, questions, objections or indersigned at the telephone number given below for prompt recommendations, the Examiner is invited to teluphone the

Respectfully submitted

Leonard Bolty, 1 Reg. No. 22,974

25th Floor 10017-2023

61-10-12/59 Ser. No.: 09/711,673 Files: Jely 24, 2001

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01430/LH-SP Due: NOVEMBER 4, 2001 Ser. No.: 09/911,673 Filed: July 24, 2001 Applicant(8):T. ENDO, ET AL

ASSISTANT COMMISSIONER FOR PATENTS ACRNONLEDGES RECEIPT OF:

Transmittal Letter, Executed Declaration FORMALITIES LETTER Check \$ 130.00 # 83793

Certificate of Mailing

Mailed: October 30, 2001

Atty. Docket #01430/LH Ser. No: 09/911,673 Filed: July 24, 2001 Applicant(s): Taro ENDO et al

RECEIPT OF THE FOLLOWING IS HEREBY ACKNOWLEDGED:

1. SUBMISSION OF SUPPLEMENTAL DECLARATION

Mailed: 01/02/02

Due:

SDF